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Signature	R.	Balina			ate 7/3/120	-1-1	
Name	Rober	t Bahrampour		Те	elephone 212 -6	70-0421	
Title	Assist	unt Seive fary /Chi	et Intellectu		ounsel	which is to \$1 - (no -)	
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STATEMENT UNDER 37 CFR 3.73(b)							
Applicant/Patent Owner: Finkelstein., et al.							
Application No./Patent No.: 10711810 Filed/Issue Date: 10/06/2004							
Entitled: Methods and systems for offering and servicing financial instruments							
MERRILL LYNCH & CO., INC. , a Corporation (Type of Assignee, e.g., corporation, par	rtnership, university, government agency, etc.)						
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A. An assignment from the inventor(s) of the patent application/patent identified above in the United States Patent and Trademark Office at Reel, Frame _ thereof is attached.							
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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignment of th	gnee.						
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Signature	Date						
Carl Oppedahl	970-468-8600						
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10/711,810 Method and system for supplementing directors' and officers' insurance

Patent Assignment Abstract of Title

Total Assignments: 3

Application #: 10711810Filing Dt: 10/06/2004Patent #: NONEIssue Dt:

PCT #: NONE Publication #: US20050197934 Pub Dt: 09/08/2005

Inventors: Barry Finkelstein, Brian Barrett, Steve Padovano, Linda Lowry, Marlene Debel, Eric Steifman, Thomas Visone, John Fromholtz, Russell L. Stein

Title: Method and system for supplementing directors' and officers' insurance

Assignment: 1

Reel/Frame: 015292 / 0663 Received: 10/27/2004 Recorded: 10/27/2004 Mailed: 10/28/2004 Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: BARRETT, BRIAN Exec Dt: 10/19/2004

Assignee: MERRILL LYNCH & CO. INC.

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DILLON, CO 80435-5068

Assignment: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: PADOVANO, STEVE Exec Dt: 10/29/2004

 LOWRY, LINDA
 Exec Dt: 10/14/2004

 DEBEL, MARLENE
 Exec Dt: 10/15/2004

 STEIFMAN, ERIC
 Exec Dt: 10/21/2004

 VISONE, THOMAS
 Exec Dt: 10/12/2004

FROMHOLTZ, JOHN Exec Dt: 10/18/2004

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Assignment: 3

Reel/Frame: 015710 / 0060 Received: 02/28/2005 Recorded: 02/28/2005 Mailed: 02/28/2005 Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: FINKELSTEIN, BARRY Exec Dt: 02/25/2005

Assignee: MERRILL LYNCH & CO., INC.

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